# On the influence of the Segre Problem on the Mori cone of blown-up surfaces

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#### **Abstract**

We propose a generalization of SHGH Conjectures to a smooth projective surface Y: the so called Segre Problem. The study of linear systems on Y can be translated in terms of the Mori cone of the blow up  $X = \operatorname{Bl}_r Y$  at r general points. Generalizing a result from [dF10], we prove that if Segre Problem holds true, then a part of  $\overline{\operatorname{NE}}(X)$  does coincide with a part of the positive cone of X.

### Introduction

As it is well-known, one of the first goals achieved by Mori Theory was a description of the  $K_X$ -negative part of the Mori cone  $\overline{\rm NE}(X)$  of a projective variety; in this paper we deal with the structure of the  $K_X$ -positive part of this cone in the case of blown-up surfaces.

The celebrated Nagata Conjecture on linear system on  $\mathbb{P}^2$ , in the spirit of conjectures of Segre, Harbourne, Gimigliano and Hirshowitz (SHGH conjectures), is strictly related to the shape of the Mori cone of  $X = \operatorname{Bl}_r \mathbb{P}^2$ , the blowing up of the plane at r general points. A consequence of SHGH conjectures is the decomposition

$$\overline{\mathsf{NE}}(X) = \overline{\mathsf{Pos}}(X) + \sum R(C),$$

where  $\overline{Pos}(X)$  is the positive cone and the sum runs on (-1)-curves.

In order to generalize this kind of conjectures to any blown-up surface, we get interested in integral curves with negative self-intersection. We focus on a smooth projective surface Y and we transfer the study of linear systems of curves on Y passing through r general points  $x_1, \ldots, x_r$  with some multiplicities, to the study of curves on  $X = BI_r Y$  with negative self-intersection.

We ask ourselves the natural generalized reformulation of SHGH conjectures:

**Problem.** Let  $X = BI_r Y$  a blown-up surface at r general points; let us suppose  $h^2(X, L) = 0$  for all line bundles L associated to a non exceptional and non empty linear system  $\mathcal{L}$ . If moreover  $\mathcal{L}$  is reduced, then  $\mathcal{L}$  is non special.

This can easily seen to be false in a number of situations (see Section 3.2); the so called Segre Problem (Problem 3.7) is the refined statement of that problem.

Since a consequence of the Segre Problem is the boundedness of negativity and arithmetic genus for the curves with negative self-intersection, we get to the statement of our main result: if the problem has a positive solution holds true, then a part of  $\overline{\text{NE}}(X)$  is circular.

**Main Theorem.** Let  $X = \operatorname{Bl}_r Y$  the blow up at r general points of a smooth projective surface Y and let L be the pullback to X of an ample A on Y. Let us suppose that for every integral curve  $C \subset X$  with negative self-intersection,  $C^2 \geqslant -\nu_X$  and  $p_a(C) \leqslant \pi_X$ .

If r is large enough (explicit bounds depending only on A,  $\nu_X$  and  $\pi_X$ ), then there exists an explicit  $s \in \mathbb{R}$  such that

$$\overline{\mathsf{NE}}(X)_{(K-sL)^{\geqslant 0}} = \overline{\mathsf{Pos}}(X)_{(K-sL)^{\geqslant 0}}.$$

In particular this is verified if  $r \gg 0$  and the Segre Problem has solution.

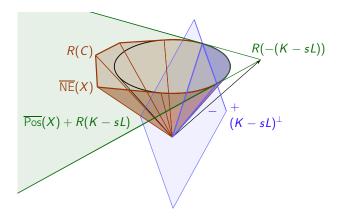


Figure 1: The (K - sL)-positive part of  $\overline{NE}(X)$  in the  $\rho(X) = 3$  case.

The content of the theorem is pictured, in the case  $\rho(X) = 3$ , in Figure 1.

Finally we show that our result is, in some sense, sharp; it is not possible to work with  $K^{\perp}$  in the statement of the Main Theorem: we must consider  $(K - sL)^{\perp}$ . We prove that, independently from any Conjecture, in many meaningful examples we have:

$$\overline{\mathsf{Pos}}(X)_{K_{\mathsf{X}}\geqslant 0}\subsetneq \overline{\mathsf{NE}}(X)_{K_{\mathsf{X}}\geqslant 0}$$

More precisely, we know that this happens if the blown-up surface Y is not uniruled and r is sufficiently large (see Proposition 6.2) or if the inequalities of Proposition 6.1 are verified.

**Notations.** For standard definitions about positivity topics and Mori Theory we refer to the classical books by Lazarsfeld ([Laz04]), Debarre ([Deb01]) and Kollár and Mori ([KM98]). Throughout this paper we will work over the field  $\mathbb C$  of complex numbers.

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# 1 Concerning cones on surfaces

Throughout this section S will denote a smooth projective surface; in particular, we will be mainly interested in the study of curves with negative self-intersection. We fix the notation with the following definition.

**Definition 1.1** ((-n, p)-curves). An integral curve C on a smooth surface S is said to be a (-n, p)-curve if  $C^2 = -n$  and it has arithmetic genus  $p_a(C) = p$ . In particular a (-n, 0)-curve is a (-n)-curve. A ray R(C) in  $\overline{\text{NE}}(S)$  is a (-n, p)-ray if R(C) is generated by a (-n, p)-curve  $C \subset S$ .

Since we are dealing with surfaces, we have  $N_1(S) = N^1(S)$  and we shall denote it N(S); in this space it is possible to compare cones spanned by classes of curves and by classes of divisors. Namely we would like to study the relationship between Nef(S) and  $\overline{\text{NE}}(S)$ ; to this end it is useful to introduce an other cone.

**Definition 1.2** (Positive cone). Let S be a smooth projective surface and let  $h \in Amp(S)$ . The open positive cone of S is  $Pos(S) = \{x \in N^1(S) \mid x^2 > 0, x \cdot h > 0\}$ . The positive cone of S is

$$\overline{\mathsf{Pos}}(S) = \left\{ x \in \mathsf{N}^1(S) \mid x^2 \geqslant 0, x \cdot h \geqslant 0 \right\}. \tag{1.1}$$

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The  $\rho$ -dimensional vector space N(S) can be equipped with the Euclidean topology and by Hodge Index Theorem (see [Har77, Theorem V.1.9]), the intersection form is a bilinear form on N(S) with signature  $(1, \rho - 1)$  and the Sylvester theorem assures us the existence, for an ample class h, of a basis  $\{e_1, \ldots, e_\rho\}$  such that

$$e_{1} = \frac{h}{\sqrt{h^{2}}}$$
  $e_{1}^{2} = 1$   
 $e_{i}^{2} = -1$  for  $i = 2, ..., \rho$   
 $e_{i} \cdot e_{j} = 0$  for  $1 \leq i < j \leq \rho$ . (1.2)

Hence the intersection matrix is  $\operatorname{diag}(1,-1,\ldots,-1)$ ; we will use this basis to write the elements  $x\in N(S)$  as  $x=\sum_{i=1}^{\rho}x_ie_i$ .

To visualize cones in N(S) it could be useful to consider a slice of the cone with an hyperplane far from the origin; to this end we can fix the hyperplane  $\Pi = (x_1 = 1)$ .

It is immediate to see that with the choices of (1.2), the positive cone  $\overline{Pos}(S)$  has the following equations:

$$\overline{\mathsf{Pos}}(S) = \left\{ x \in \mathsf{N}(S) \mid x_1 \geqslant 0, x_1^2 \geqslant \sum_{i=2}^{\rho} x_i^2 \right\}. \tag{1.3}$$

**Fact 1.3.** If  $x, y \in \overline{\mathsf{Pos}}(S)$ , then  $x \cdot y \geqslant 0$ ; moreover if  $x \neq 0$  and  $y \in \mathsf{Pos}(S)$  or  $y \neq 0$  and  $x \in \mathsf{Pos}(S)$ , we have that  $x \cdot y > 0$ . In particular, the positive cone  $\overline{\mathsf{Pos}}(S)$  is a convex cone.

As immediate consequence of the former fact (for the proof, see [BPVdV84]), we have that the definition of  $\overline{Pos}(S)$  does not depend on the choice of the ample class h.

The following easy Lemma essentially gives a visual way to find the orthogonal hyperplane in N(S) corresponding to a class  $\gamma$ : if  $\gamma$  is outside  $\overline{\operatorname{Pos}}(S)$ ,  $\gamma^{\perp}$  is simply the hyperplane passing through the intersection points of  $\partial \overline{\operatorname{Pos}}(S)$  with the tangent lines to  $\overline{\operatorname{Pos}}(S)$  coming out from  $\gamma$ .

**Lemma 1.4.** Let  $\gamma$  be a class in N(S), with  $\rho(S) \geqslant 3$ , such that  $\gamma^2 < 0$ ,  $\gamma \cdot h \geqslant 0$  and let us consider  $0 \neq \alpha \in \overline{\mathsf{Pos}}(S)$ ; let L be the line joining  $\alpha$  to  $\gamma$ , then

$$L \cap \overline{\mathsf{Pos}}(S) = \{\alpha\} \iff \alpha^2 = \alpha \cdot \gamma = 0.$$

Let us see what happens when  $\gamma^2 \geqslant 0$ .

**Lemma 1.5.** Let S be a smooth projective surface with  $\rho(S) \ge 2$  and let  $0 \ne \gamma \in N(S)$  be a class with  $\gamma \cdot h \ge 0$  and  $\gamma^2 \ge 0$ .

- 1. If  $\gamma^2 > 0$ , then  $\gamma^{\perp} \cap \overline{\mathsf{Pos}}(S) = \{0\}$ ;
- 2. if  $\gamma^2 = 0$ , then  $\gamma^{\perp} \cap \overline{\mathsf{Pos}}(S) = R(\gamma)$ .

In the spirit of comparing cones, we have the following well-known properties.

**Fact 1.6.** If S is a projective smooth surface, then

- 1.  $\overline{\mathsf{Pos}}(S) = (\overline{\mathsf{Pos}}(S))^{\vee}$ ;
- 2.  $Nef(S) \subseteq \overline{Pos}(S) \subseteq \overline{NE}(S)$ .

In the following we will denote Neg(S) the set of integral curves  $C \subset S$  such that  $C^2 < 0$ .

**Proposition 1.7.** If S is a smooth projective surface, we have the following decompositions.

- 1. For any  $y \in \overline{NE}(S)$ , there exist  $p \in Nef(S)$  and  $n \in Eff(S)$  such that y = p + n and  $p \cdot n = 0$ .
- 2. We have

$$\overline{\mathsf{NE}}(S) = \overline{\mathsf{Pos}}(S) + \sum_{[C] \in \mathsf{Neg}(S)} R(C) = \mathsf{Nef}(S) + \sum_{[C] \in \mathsf{Neg}(S)} R(C). \tag{1.4}$$

*Proof.* To see the first statement, let us consider  $y \in \overline{NE}(S)$ ; if y = [D], where D is a real divisor on S, using [Laz04, Theorem 2.3.19], since the proof of the cited results holds true also for  $\mathbb{R}$ -divisors, we get that there is a Zariski decomposition for D: D = P + N, with  $P \in \text{Nef}(S)$  and  $N \in \text{Eff}(S)$ . The matrix of components of N is definite negative and  $P \cdot \Gamma = 0$  for every component  $\Gamma$  of N.

Setting p = [P], n = [N] we have that y = [D] = [P] + [N] = p + n with  $p \in Nef(S)$ ,  $n \in Eff(S)$  and  $p \cdot n = 0$ , that is the first part of Proposition 1.7.

We now prove the other decomposition. We can see that Fact 1.6 immediately gives

$$\overline{\mathsf{NE}}(S) \supseteq \overline{\mathsf{Pos}}(S) + \sum_{[C] \in \mathsf{Neg}(S)} R(C) \supseteq \mathsf{Nef}(S) + \sum_{[C] \in \mathsf{Neg}(S)} R(C). \tag{1.5}$$

Viceversa if  $y \in \overline{\rm NE}(S)$ , the first part of the proposition gives y = p + n as above. In particular, since the matrix of the components of N is negative definite, for any component  $\Gamma$  of N, we have  $\Gamma^2 < 0$ . It follows that  $n = [N] \in \sum_{[C] \in {\rm Neg}(S)} R(C)$ , and, obviously  $y = p + n \in {\rm Nef}(S) + \sum_{[C] \in {\rm Neg}(S)} R(C)$ . This finally gives

$$\overline{\mathsf{NE}}(S) \subseteq \mathsf{Nef}(S) + \sum_{[C] \in \mathsf{Neg}(S)} R(C) \subseteq \overline{\mathsf{Pos}}(S) + \sum_{[C] \in \mathsf{Neg}(S)} R(C).$$

# 2 The Nagata Conjecture and the $\mathbb{P}^2$ case

In this section we focus on the  $\mathbb{P}^2$  case to stress the relationship between some classical conjectures and some interesting reformulations in terms of Mori theory. This relation has been recently sudied by several authors; we refer in particular to [dF10].

Let us recall that a point of a variety is said to be *general* if it is chosen in the complement of a closed subset and it is said to be *very general* if it is chosen in the complement of the countable union of preassigned proper closed subsets.

Nagata Conjecture (see [Nag59] or [Laz04, Remark 5.1.14]) is certainly one of the most renowned open problems in the study of planar linear system.

**Conjecture 2.1** (Nagata Conjecture). Let  $x_1, \ldots, x_r \in \mathbb{P}^2$  be very general points; if  $r \ge 10$ , then

$$\deg(D) \geqslant \frac{1}{\sqrt{r}} \sum_{i=1}^{r} \operatorname{mult}_{x_i}(D)$$
 (2.1)

for every effective divisor D in  $\mathbb{P}^2$ .

A stronger bound is given in the following conjecture.

**Conjecture 2.2** (see [dF10]). Let  $x_1, \ldots, x_r \in \mathbb{P}^2$  very general points; if  $r \ge 10$ , then

$$\deg(D)^2 \geqslant \sum_{i=1}^r \operatorname{mult}_{x_i}(D)^2, \tag{2.2}$$

for every non rational integral curve D in  $\mathbb{P}^2$ .

**Remark 2.3.** In fact, these conjectures can be reformulated in terms of some other conjectures more Mori theory tasting on the blow up of the plane at r points. This reformulation can be made following the spirit of equivalent conjectures of Segre, Harbourne, Gimigliano and Hirschowitz (see [Seg62], [Har86], [Gim87] and [Hir89]); we will expand this discussion in the following sections and we will get to the statement of the *Segre Problem*.

Nagata Conjecture has been classically stated for the projective plane; we are interested in some generalization of this kind of statements for X, a smooth projective surface Y blown up at r general points.

We can now ask ourselves some conjecture-like problems: the first of them is about the positive cone  $\overline{Pos}(X)$  and  $K_X$ -extremal rays.

**Problem 2.4.** Let Y be a smooth projective surface and consider  $X = \operatorname{Bl}_r(Y)$  the blow up of Y at r very general points, then

$$\overline{\mathsf{NE}}(X) = \overline{\mathsf{Pos}}(X) + \sum R_i, \tag{2.3}$$

where the sum runs over all  $K_X$ -negative extremal rays of  $\overline{NE}(X)$ .

The second, instead, involves curves with self-negative intersection.

**Problem 2.5** ((-1)-Curves Conjecture). Let  $X = \mathsf{BI}_r(Y)$  the blow up of a smooth projective surface Y and let  $C \subset X$  be an integral curve such that  $C^2 < 0$ , then C is a (-1)-curve.

**Remark 2.6.** We just point out that in the case of surfaces, Mori theory gives that if X is a surface with  $\rho(X) \geqslant 3$ , then extremal rays of  $\overline{\text{NE}}(X)$  spanned by  $K_X$ -negative curves are precisely those spanned by (-1)-curves (see See [KM98, Theorem 1.28]).

Remark 2.6 immediately gives that if either  $r \geqslant 2$  or  $Y \neq \mathbb{P}^2$  or Y is not minimal ruled, the decomposition in Problem 2.4 is equivalent to decomposition

$$\overline{NE}(X) = \overline{Pos}(X) + \sum_{C \text{ (-1)-curve}} R(C). \tag{2.4}$$

It is easy to prove the following.

Fact 2.7. Problem 2.4 and Problem 2.5 are equivalent.

**Remark 2.8.** We have seen that Problem 2.4 and Problem 2.5 are equivalent, but they shall immediately be false if Y contains integral curves C with  $C^2 \le -2$ . This fact is not so unusual and this is why we didn't use the term *Conjecture* in Problem 2.4 and 2.5.

It is interesting and useful to point out a step toward the proof of Problem 2.5 in the case of  $Y = \mathbb{P}^2$ : in [dF05, Proposition 2.4], the author shows that if C is an integral rational curve on  $X = \operatorname{Bl}_r \mathbb{P}^2$  with negative self-intersection, then it is a (-1)-curve. This proposition allows us to prove the following.

**Fact 2.9.** In the case of  $Y = \mathbb{P}^2$ , Problem 2.4 is equivalent to Conjecture 2.2.

# 3 The Segre Problem

In the spirit of the previous section, if  $X = \operatorname{Bl}_r Y$  is the blow up of a smooth projective surface Y at r general points, will study some conjectures about the Mori Cone  $\overline{\operatorname{NE}}(X)$  and the curves on X with negative self-intersection. Instead of study linear systems in Y with multiplicities at the r general points  $x_1, \ldots, x_r$ , we will focus on the linear system |C| associated to an integral curve  $C \subset X$ .

In order to generalize the definition of a special linear system |C|, we need to require that the dimension  $h^2(X, \mathcal{O}_X(C)) = 0$ . In this situation, indeed, we can give the following definition.

**Definition 3.1.** Let L be a line bundle on a smooth projective surface X with  $h^2(X, L) = 0$ ; the *virtual dimension* of the linear system  $\mathcal{L}$  associated L is  $v(\mathcal{L}) = \chi(L) - 1$ , and its expected dimension is  $e(\mathcal{L}) = \max\{v(\mathcal{L}), -1\}$ .

**Definition 3.2.** Let  $\mathcal{L}$  be a linear system on X with L associated line bundle such that  $h^2(X,L)=0$ .

- L is special (equivalently  $\mathcal{L}$  is special) if  $\dim(\mathcal{L}) > e(\mathcal{L})$ ;
- L is non special (equivalently  $\mathcal{L}$  is non special) if  $\dim(\mathcal{L}) = e(\mathcal{L})$ .

**Definition 3.3.** We say that a linear system  $\mathcal{L}$  on a  $X = \operatorname{Bl}_r Y$  is non exceptional if there is a divisor in  $\mathcal{L}$  such that its support is not contained in the exceptional locus of X.

In order to ensure the vanishing of the second cohomology, we restrict to surfaces Y with  $p_g(Y)=0$  or  $K_Y\equiv 0$ . These two cases cover a number of interesting surfaces: in the first we get the projective plane, Enriques surfaces, bielliptic surfaces and a number of surfaces of general type; in the second, namely if  $K_Y\equiv 0$  and  $p_g(Y)\neq 0$ , we have a fortiori that  $K_Y\sim 0$  and hence Y has to be an Abelian or a K3 surface. The following Lemma can be proved.

**Lemma 3.4.** Let Y be a smooth surface with either  $p_g(Y) = 0$ , or a K3 or an abelian surface. Let us consider a line bundle L on  $X = \mathsf{Bl}_r(Y)$  with associated linear system  $\mathcal{L} \neq \emptyset$ . If  $\mathcal{L}$  is not exceptional, then  $h^2(X, L) = 0$ .

The original Segre Conjecture (see [Seg62]) about planar linear system can be easily stated for any surface; in [DVL05], the authors state the Segre Conjecture for a generic K3 surface.

**Conjecture 3.5** (see [DVL05]). Let Y be a generic K3 surface and let  $\mathcal{L}$  be a non empty and reduced linear system on Y, then  $\mathcal{L}$  is non special.

More generally, in view of Definition 3.2, we may consider the following statement.

**Problem 3.6.** Let  $X = BI_r Y$  a blown-up surface at r general points; let us suppose  $h^2(X, L) = 0$  for all line bundles L associated to a non exceptional and non empty linear system  $\mathcal{L}$ . If moreover  $\mathcal{L}$  is reduced, then  $\mathcal{L}$  is non special.

Looking at Lemma 3.4, we state our formulation of the Segre Problem.

**Problem 3.7** (Segre Problem). Let Y be either a K3 surface or a surface with  $p_g(Y) = 0$  or an abelian surface and let  $\varphi: X \to Y$  be the blow up at  $x_1, \ldots, x_r$ , general points of Y. If  $\mathcal L$  is a non exceptional, non empty and reduced linear system on X, then  $\mathcal L$  is non special.

**Remark 3.8.** We will soon see in Section 3.2 that a statement like the former can't be true for some remarkable cases of surfaces Y with  $p_g(Y) = 0$ , like Enriques and bielliptic surfaces, and for non simple abelian surfaces, that is an abelian surface not containing any nontrivial abelian subvarieties

#### 3.1 The List Conjecture

In order to study the consequences of Problem 3.7 on  $\overline{\text{NE}}(X)$ , let us recall the so called Bounded Negativity Conjecture (see, for example, [Har10, Section 1]).

We say that a smooth surface S has bounded negativity if there exists an integer  $\nu_S$  such that  $C^2 \geqslant -\nu_S$  for each integral curve  $C \subset S$ .

**Conjecture 3.9** (Bounded Negativity Conjecture). Every smooth surface S in characteristic 0 has bounded negativity.

**Remark 3.10.** It is known that the Bounded Negativity Conjecture is false in positive characteristic: see, for example, [Har10, Remark I.2.2]; it may be worth to point out that recent attempts (see [BHK+11]) to produce counterexamples in characteristic 0 have not been successful: the bounded negativity conjecture remains still open.

**Fact 3.11.** Bounded Negativity Conjecture holds true for a smooth projective surface S with  $-K_S$  pseudoeffective.

*Proof.* Let  $C \subset S$  be an integral curve. If  $-K_S \cdot C \geqslant 0$ , by adjunction,  $C^2 \geqslant -2$ ; if else  $-K_S \cdot C < 0$  then, taking the Zariski decomposition of the pseudoeffective anticanonical divisor, C has to be one of the finitely many components  $E_1 \dots, E_s$  of the effective part. Thus  $C^2 \geqslant \min\{-2, E_1^2, \dots, E_s^2\}$ .

Conjecture 3.9 suggests the boundedness from below of the self-intersection; on the other hand, in the case of  $\mathbb{P}^2$ , the (-1)-curves Conjecture not only gives the boundedness of the negativity, but also the boundedness from above of the arithmetic genus.

The (-n, p)-curves should thus lie in a sort of list; we state this idea as a conjecture.

**Conjecture 3.12** (List Conjecture). Let  $C \subset X = \operatorname{Bl}_r Y$  be a non exceptional, integral curve such that  $C^2 < 0$ , then there exist a positive number  $\nu = \nu_X$  and a non negative integer  $\pi = \pi_X$  such that C is a (-n,p)-curve for some  $1 \le n \le \nu$  and  $0 \le p \le \pi$  (there is a list of possible (-n,p)-curves).

Immediately we get the following.

**Fact 3.13.** Let  $X = Bl_r Y$ ; if  $-K_X$  is pseudoeffective then the List Conjecture 3.12 holds true.

*Proof.* Fact 3.11 gives the existence of a bound  $\nu$  on the negativity. Mimicking the proof of Fact 3.11 we easily get the bound for the arithmetic genus.

**Remark 3.14.** In the proof of Fact 3.13, as main ingredient, we used the Zariski decomposition, neverthless we did not use its whole power. To ensure the existence of a finite number of K-positive integral curves, we just need the existence of a weak Zariski decomposition of the anticanonical divisor in a nef and an effective part. In view of Fact 3.13, let us take a smooth surface Y with  $-K_Y = P + N$ ; the List conjecture holds true on its blow up  $X = \operatorname{Bl}_r Y$  if  $\varphi^*P - \sum_{i=1}^r E_i$  is nef, that is if  $\varphi^*P$  is sufficiently positive and the number of points to blow up is sufficiently small.

It may be worth to point out that this is the case of  $Y = \mathbb{P}^2$  and  $r \leq 9$ .

**Remark 3.15.** In view of our main result (see Theorem 5.9) it is worth to point out that if we are able to find a smooth projective surface Y with a weak Zariski decomposition for  $-K_Y$  with  $\varphi^*P$  sufficiently positive with respect to r, then our main result is true, independently from any conjecture, on  $X = \mathsf{BI}_r Y$ .

We can now see how a statement like Problem 3.7 implies Conjecture 3.12 and allows us to find explicit bounds on the negativity and on the arithmetic genus depending only on the blown-up surface Y.

**Proposition 3.16.** Let  $C \subset X = Bl_r Y$  a non exceptional integral curve on a smooth blown-up surface X, such that  $C^2 < 0$ ; let us suppose Segre Problem (Problem 3.7) has a positive answer.

- 1. It holds:  $-1 \geqslant C^2 \geqslant p_a(C) \chi(\mathcal{O}_Y) \geqslant -\chi(\mathcal{O}_Y)$ , and in particular  $\chi(\mathcal{O}_Y) \geqslant 1$ ;
- 2. Conjecture 3.12 holds true with  $\nu = \chi(\mathcal{O}_Y), \pi = \chi(\mathcal{O}_Y) 1$ .

*Proof.* Let us consider the linear system |C|, associated to  $C \subset X$ , non exceptional, integral curve such that  $C^2 < 0$ ; Conjecture 3.7 implies that the system is non special, and since it is non empty, we get that  $\chi(\mathcal{O}_X(C)) \geqslant 1$ . By Riemann-Roch theorem setting  $\chi = \chi(\mathcal{O}_X) = \chi(\mathcal{O}_Y)$ , we get

$$C^2 - C \cdot K_X \geqslant 2 - 2\gamma$$
.

Hence, by adjunction formula,  $C^2 - p \ge -\chi$ . Since  $C^2 \le -1$  we get:  $-1 \ge C^2 \ge p - \chi \ge -\chi$ , that is the first point of the proposition. Immediately we find the bounds

$$C^2 \geqslant -\chi(\mathcal{O}_Y)$$
 and  $p_a(C) \leqslant \chi(\mathcal{O}_Y) - 1.$  (3.1)

#### 3.2 Special cases and counterexamples

In this section we will study the behaviour of the Segre Problem in some special cases; in particular, using elliptic fibrations, we will easily show that it must have a negative answer if the blown-up surface Y is Enriques, bielliptic, or it lies in a subclass of the abelian surfaces.

Consider at first the case of  $\chi(\mathcal{O}_Y) \leq 0$ . We have the following fact.

**Fact 3.17.** Let Y be a smooth projective surfaces with  $\chi(\mathcal{O}_Y) \leq 0$  and either  $p_g(Y) = 0$  or Y is an abelian or K3 surface; suppose that Problem 3.7 holds true for X, the blow up of Y at r general points. If an integral curve  $C \subset X$  is such that  $C^2 < 0$ , then C is exceptional.

*Proof.* From the first point of Proposition (3.16), we see in particular that:  $-1 \geqslant C^2 \geqslant -\chi(\mathcal{O}_Y)$ . If  $\chi(\mathcal{O}_Y) \leqslant 0$ , Problem 3.7 implies that there can't be non exceptional curves with negative self-intersection.

#### **Projective Plane**

In we consider the  $Y=\mathbb{P}^2$ , the projective plane, we have that  $\chi(\mathcal{O}_{\mathbb{P}^2})=1$  and Conjecture 3.12, by Proposition 3.16, gives  $\nu=1$  and  $\pi=0$ . Therefore we have that if C is an irreducible and reduced non exceptional curve such that  $C^2<0$ , than C is a (-1)-curve; since exceptional curves are (-1)-curves, Conjecture 3.12 says that on the blow up of the plane at r general points, the only integral curves with negative self-intersection are (-1)-curves. Hence we recover the so-called (-1)-Curve Conjecture (see Problem 2.5 or [dF10, Conjecture 1.1]).

#### Surfaces with a fibration and easy counterexamples

We want now to put in evidence some easy counterexamples to the Segre Problem; in particular we will focus on surfaces Y having a sort of fibration.

**Fact 3.18.** Let Y be a surface with a base point free pencil V of curves of arithmetic genus g; if for the strict transform  $\tilde{C} \subset X = \mathsf{Bl}_r Y$  of a general curve in the pencil we have

$$\chi(\mathcal{O}_Y) \neq \dim |\tilde{C}| + g + 1, \tag{3.2}$$

then the Segre Problem has a negative answer for  $X = Bl_r Y$ .

In particular, in the  $p_g(Y) = 0$  case, there is a negative answer if g > 0 or q > 0, where g is the genus of the curves in the pencil and q is the irregularity of Y.

*Proof.* The base point free pencil V determines a morphism  $\psi_V:Y\to\mathbb{P}^1$ , whose fibres are exactly the genus g curves of V. Thus for  $C\in V$ , we immediately get  $C^2=0$ .

Let us focus on  $X = \operatorname{Bl}_r Y$  and let us consider the fibre  $C = F_1$  passing through  $x_1$ . By generality and by Bertini theorem, we can suppose that C is a smooth curve and therefore

$$m_1 = \operatorname{mult}_{x_i}(C) = 1;$$
  $m_i = \operatorname{mult}_{x_i}(C) = 0,$  for  $i = 2, ..., r$ .

Moreover, we can also see that the curve C is irreducible and hence integral; thus its strict transform  $\tilde{C} = \varphi^*C - E_1$  is an integral, smooth and non exceptional curve with  $\tilde{C}^2 = -1$ .

Let us suppose that the Segre problem holds true; for  $L = \mathcal{O}_X(\tilde{C})$ , Segre problem gives  $\dim(|L|) = \max\{\chi(L) - 1, -1\}$ ; since  $|L| \neq \emptyset$ , then

$$\dim(|L|) = \chi(L) - 1. \tag{3.3}$$

By Riemann-Roch theorem and adjunction, we get

$$\chi(L) = \chi(\mathcal{O}_X) + \frac{1}{2}(\tilde{C}^2 - \tilde{C} \cdot K_X) = \chi(\mathcal{O}_Y) + \tilde{C}^2 - g + 1.$$

Now, by equation (3.3), we get  $\chi(\mathcal{O}_Y) = \dim(|L|) + g + 1$ , a contradiction with our ad hoc hypothesis. Since  $\dim(|L|) \geqslant 0$ , we get the bound  $\chi(\mathcal{O}_Y) \geqslant g + 1$ .

In the  $p_g(Y)=0$  case, since  $\chi(\mathcal{O}_Y)=1-q$ , this becomes  $g+q\leqslant 0$  and hence q=g=0. Thus, whenever g>0 or q>0, the Segre problem has a negative answer.

Let us recall that a surface Y has an *elliptic fibration* (see [BPVdV84]) if there exists a proper connected morphism  $Y \to C$  to an algebraic curve C such that the general fibre is a smooth elliptic curve. It is immediate to state the following fact.

**Fact 3.19.** Let Y be either an Enriques or a bielliptic surface or a non simple abelian surface, then the Segre Problem for  $X = BI_r Y$  has a negative answer.

*Proof.* It is enough to find an elliptic curve  $C \subset Y$  passing through the first blowing-up point  $x_1$ . In the case of an Enriques or a bielliptic surface this is a consequence of the existence of an elliptic fibration.

In the non simple abelian case, we have that there is an elliptic curve  $D \subset Y$  and by [BL04, Poincaré's complete reducibility theorem] there exists another elliptic curve D' such that Y is isogeneous to  $D \times D'$ ; thus in particular there is an elliptic curve passing through a point and, by translation, there is such a curve through any point.

Let us take the elliptic curve C passing through the first blowing-up point  $x_1$ . We immediately see that  $C^2=0$ ; by generality of the points, we can suppose that  $m_1=1$  and  $m_i=0$  for  $i=2,\ldots,r$ . For the strict transform  $\tilde{C}$ , we get  $\tilde{C}=\varphi^*C-E_1$ , which gives  $\tilde{C}^2=-1$ . Now, since  $\tilde{C}$  is a non exceptional curve with negative self-intersection, if Segre Problem had a positive answer, Proposition 3.16 would give

$$-1 \geqslant \tilde{C}^2 \geqslant 1 - \chi(\mathcal{O}_Y)$$

hence  $\chi(\mathcal{O}_Y) \geqslant 2$ , that is a contradiction.

# 4 Negative part of the Mori cone

If X is a smooth projective surface, we want to study the decomposition of Neg(X), the set of integral curves  $C \subset X$  such that  $C^2 < 0$ . In particular, this decomposition allows us to study the structure of the Mori cone  $\overline{NE}(X)$ . In view of Conjecture 3.12, we have the following proposition.

**Proposition 4.1.** Let X be a smooth projective surface; let us consider a finite subset  $L' \subset ((-\infty, -1] \cap \mathbb{Z}) \times ([0, +\infty) \cap \mathbb{Z})$ ; we say that the integral curve  $C \subset X$  is in the list L' if  $(C^2, p_a(C)) \in L'$ . Let  $L = \{[C] \mid (C^2, p_a(C)) \in L'\} \subset N(X)$ , then the following are equivalent:

- 1. for all integral curve  $C \subset X$  such that  $C^2 < 0$  we have that  $[C] \in L$ ;
- 2. we have the decomposition  $\overline{NE}(X) = \overline{Pos}(X) + \sum_{|C| \in L} R(C)$ .

*Proof.* Let us suppose the first, then the second is an easy consequence of Proposition 1.7.

To prove the reverse implication. Consider an integral curve C such that  $C^2 < 0$ ; by hypothesis we get the decomposition:  $[C] = \alpha + \sum_{i \in I} b_i[C_i]$ , where  $\alpha \in \overline{\operatorname{Pos}}(X)$ ,  $b_i > 0$  and  $[C_i] \in L$ . Now, since  $C^2 < 0$ , [C] spans the extremal ray R(C). By extremality we have that  $\alpha \in R(C)$  and so there exists a real number  $a \ge 0$  such that  $\alpha = a[C]$ . We immediately get:  $0 \le \alpha^2 = a^2[C]^2 \le 0$ , which gives  $a^2[C]^2 = 0$  and so a = 0 and a = 0. Again by extremality, we also have that  $a = a[C] \in R(C)$  for all  $a \in C$  but since in such a ray there can't be two distinct integral curves, the decomposition has only a summand with  $a \in C$  and in particular  $a \in C$ .

**Remark 4.2.** Since we are considering blown-up surfaces at r points, we can't avoid the exceptional curves  $E_1, \ldots, E_r \subset X$ . In light of this, it is immediate to see that the first claim in Proposition 4.1 is thus equivalent to Conjecture 3.12. In particular a positive answer to Segre Problem implies the decomposition given in the second statement.

#### 4.1 K3 surfaces

The case of K3 surfaces has been considered in [DVL05]; in this paper the authors state the Segre Conjecture for a generic K3 surface, that is Y is a K3 and  $Pic(Y) = \mathbb{Z}[h]$ , for an ample class h on Y. Let Y be a K3 surface and let  $X = Bl_r Y$ ; we want to study in more details how Segre Problem forces the structure of the negative part of  $\overline{NE}(X)$ . We have the following.

**Fact 4.3.** Let  $X = BI_r Y$  the blow up of a K3 surface; if the Segre Problem has a positive answer, then the list in Proposition 4.1 is given by curves of kind I, II or III and we have the decomposition:

$$\overline{\mathsf{NE}}(X) = \overline{\mathsf{Pos}}(X) + \sum_{C_i \text{ of kind } I} R(C_i) + \sum_{C_j \text{ of kind } II} R(C_j) + \sum_{C_k \text{ of kind } III} R(C_k),$$

where the curves with negative self-intersetion are of one of the following kind.

	kind I	kind II	kind III
$(C^2, p_a(C))$ $C \cdot K_X$	(-1,0) -1	(-2,0)	(-1,1)
$\varphi(C)$	point	Γ	Γ
$(\Gamma^2, p_a(\Gamma))$		(-2,0)	(0,1)
			$\mid mult_{P_i} = 1, mult_{P_j} = 0$ for all $j \neq i$ .

In the case of generic K3, we have the following fact.

**Fact 4.4.** If Y is a generic K3 surface; suppose Segre Problem has a positive answer for  $X = BI_r Y$ , then if C is an irreducible curve such that  $C^2 < 0$ , then it is an exceptional (-1)-curve.

*Proof.* Since Y is generic, then  $Pic(Y) = \mathbb{Z}[h]$  and  $\overline{NE}(Y) = R(h)$  is simply the ray generated by h. Therefore for every curve on Y we have  $C^2 > 0$ , hence on X there can't be curves of kind II or III.

# 5 Circular part of the Mori cone

In view of the conjectures from the former sections, it is reasonable to ask ourselves the following.

**Problem 5.1.** Let X be the blow up of a smooth algebraic surface at r (eventually large) general points. Then there exists an  $\mathbb{R}$ -divisor D on X such that  $\overline{\mathsf{NE}}(X)_{D^{\geqslant 0}} \neq \{0\}$  and

$$\overline{\mathsf{NE}}(X)_{D^{\geqslant 0}} = \overline{\mathsf{Pos}}(X)_{D^{\geqslant 0}}.\tag{5.1}$$

In Theorem 5.9 we will derive the solution to this problem as a consequence of the List Conjecture (see Conjecture 3.12), supposing r sufficiently large and supposing the bounds depending only on Y; in particular, since this is assured by Segre Problem, Problem 5.1 would follow from Segre.

As before, we work with a smooth projective surface Y with either  $p_g(Y) = 0$  or Y a K3 or an abelian surface and let  $\varphi : X = \mathsf{Bl}_r Y \to Y$  be the blow up at the general points  $x_1, \ldots, x_r$ .

From now on, A will be a fixed ample divisor on Y and  $L = \varphi^*A$  its nef pullback to X; we will denote  $K = K_X$  the canonical divisor of X.

**Fact 5.2.** We have: 
$$K_X^2 = K_Y^2 - r$$
,  $L^2 = A^2 > 0$  and  $K_X \cdot L = A \cdot K_Y$ .

We want to find conditions on the (eventually large) number r of points to blow up in order to describe the Mori Cone  $\overline{\text{NE}}(X)$  of the blown-up surface X in terms of the positive cone  $\overline{\text{Pos}}(X)$  (see Proposition 1.7). Here is our strategy: we fix an integral curve C generating a (-n,p)-ray and then we find an  $s=s(n,p)\in\mathbb{R}$  such that  $R(C)\subset\overline{\text{Pos}}(X)+R(K-sL)$ . Performing our program we will find some inequalities on the number of points r; the bounded negativity condition will allow us to avoid accumulation phenomena. Let us first prove the fact in the case of (-1,p)-curves.

**Proposition 5.3** ((-1, p)-case). Let Y be an smooth projective surface and  $X = Bl_r Y$  the blow up of Y at r general points. If R is a (-1, p)-ray generated by a curve C and we have

$$\begin{cases}
r > K_Y^2 + 1 - \frac{(A \cdot K_Y)^2}{A^2} \\
r \geqslant K_Y^2 + 1 + 4A^2p^2 - 4(A \cdot K_Y)p, & \text{if } p > \frac{A \cdot K_Y}{2A^2},
\end{cases}$$
(5.2)

then there exists  $s_1 = \frac{A \cdot K_Y + \sqrt{(A \cdot K_Y)^2 - A^2 K_Y^2 + A^2 r - A^2}}{A^2}$ , such that  $R(C) \subset \overline{\mathsf{Pos}}(X) + R(K - s_1 L)$ .

*Proof.* As first step we want to find a positive solution for t of the equation

$$(tC - (K - sL))^2 = 0, (5.3)$$

where C is the (-1,p)-curve generating R. To ensure the existence of solutions of (5.3) we need  $\Delta \geqslant 0$ . Since, by adjunction,  $C \cdot K = 2p - 1$ , we have to ask

$$\Delta/4 = (2p - 1 - sC \cdot L)^2 + (K - sL)^2 \ge 0.$$

To this end it is enough to require the existence of s such that

$$(K - sL)^2 = -1$$
 and  $(2p - 1 - sC \cdot L)^2 \ge 1$ . (5.4)

The first equation, by Fact 5.2, becomes  $A^2s^2-2sA\cdot K_Y+K_Y^2-r+1=0$ , and it has solutions if its discriminant  $\Delta_1/4=(A\cdot K_Y)^2-A^2K_Y^2+A^2r-A^2\geqslant 0$ , that is if  $r\geqslant K_Y^2+1-\frac{(A\cdot K_Y)^2}{A^2}$ .

Since in the following we will need the strict positivity of this discriminant, our first numerical condition on the number of points to blow up is:

$$r > K_Y^2 + 1 - \frac{(A \cdot K_Y)^2}{A^2}.$$
 (5.5)

In this situation we can take

$$s_1 = \frac{A \cdot K_Y + \sqrt{\Delta_1/4}}{A^2}; \tag{5.6}$$

let us note as  $s_1$  do not depend on the specific curve C, but just, as will be clearer in the next proposition, on the value of  $C^2$ . Now let us fix  $s=s_1$  as in (5.6) and let us check the second inequality in (5.4). We immediately see that it is enough that

$$2p - 1 - sC \cdot L \leqslant -1. \tag{5.7}$$

Now, if  $C \cdot L = 0$  than C is a contracted curve and so is one of the exceptional divisors  $E_i$ ; in particular we have p = 0 and so the inequality holds. If else  $C \cdot L > 0$ , the condition (5.7) gives  $s \geqslant \frac{2p}{C \cdot L}$ . Since  $C \cdot L \geqslant 1$ , it is enough to have  $s \geqslant 2p$ ; this is true when

$$s=rac{A\cdot K_Y+\sqrt{\Delta_1/4}}{A^2}\geqslant 2p,$$

which gives  $\sqrt{\Delta_1/4} \geqslant 2A^2p - A \cdot K_Y$ . If the right hand side is non positive, that is when  $p \leqslant A \cdot K_Y/2A^2$ , the inequality holds true and we have no other conditions to impose. If otherwise  $p > A \cdot K_Y/2A^2$ , we get

$$(A \cdot K_Y)^2 - A^2 K_Y^2 + A^2 r - A^2 \geqslant 4(A^2)^2 p^2 + (A \cdot K_Y)^2 - 4A^2 (A \cdot K_Y) p$$

that gives  $r \ge K_Y^2 + 1 + 4A^2p^2 - 4(A \cdot K_Y)p$ . Hence, we have the two conditions:

$$\begin{cases} r > K_Y^2 + 1 - \frac{(A \cdot K_Y)^2}{A^2} \\ r \geqslant K_Y^2 + 1 + 4A^2p^2 - 4(A \cdot K_Y)p & \text{if } p > \frac{A \cdot K_Y}{2A^2}. \end{cases}$$

In this situation the (5.4) holds true and we have solutions of  $(tC - (K - sL))^2 = 0$ . We can fix one of the two solution:

$$t_0 = -C \cdot (K - sL) + \sqrt{\Delta/4} = -(2p - 1 - sC \cdot L) + \sqrt{\Delta/4}.$$
 (5.8)

Thanks to (5.7), we have that  $t_0 \ge 1 > 0$  and so we get a positive solution of (5.3).

Now we have that  $\alpha = t_0 C - (K - sL)$  satisfies  $\alpha^2 = 0$ . In order to prove that  $\alpha \in \overline{\mathsf{Pos}}(X)$ , we need to check that  $\alpha \cdot h \geqslant 0$  for some h ample.

Since if  $\alpha \cdot h \geqslant 0$  then  $\alpha \cdot h' \geqslant 0$  for any other ample class h', we can set  $h = L - \sum \delta_i E_i$ , with  $\delta_i > 0$ ; we will fix the  $\delta_i \ll 1$  after the following formal computation. A little remark: since we have the strict positivity in 5.5, then  $\sqrt{\Delta_1/4} > 0$ . It is immediate to see that

$$\alpha \cdot h = [t_0 C - (K - sL)] \cdot [L - \sum \delta_i E_i] = t_0 C \cdot L - t_0 \sum \delta_i E_i \cdot C + \sqrt{\Delta_1/4} - \sum \delta_i.$$
 (5.9)

Now, since  $t_0 C \cdot L + \sqrt{\Delta_1/4} > 0$  and  $E_i \cdot C$  depends only on C, for any C, we can fix small  $\delta_i$  for which  $\alpha \cdot h \geqslant 0$ .

We have hence that for a positive  $t_0$ ,  $\alpha = t_0C - (K - sL) \in \overline{\mathsf{Pos}}(X)$ . Therefore  $t_0C \in \overline{\mathsf{Pos}}(X) + (K - sL)$  and so, since  $t_0$  is positive,  $R(C) \subset \overline{\mathsf{Pos}}(X) + R(K - sL)$ .

Our goal is now to prove of a similar fact in the general case of (-n, p)-curves.

**Proposition 5.4** ((-n, p)-case). Let Y be an algebraic projective smooth surface and  $X = \operatorname{Bl}_r Y$  the blow up of Y at r general points. If R is an (-n, p)-ray,  $n \ge 2$ , generated by a curve C, let q = 2p + n - 1, and let us suppose that

$$\begin{cases}
r \geqslant K_Y^2 + \frac{1}{n} - \frac{(A \cdot K_Y)^2}{A^2} \\
r \geqslant K_Y^2 + \frac{1}{n} + A^2 q^2 - 2(A \cdot K_Y) q & \text{if } q > \frac{A \cdot K_Y}{A^2},
\end{cases}$$
(5.10)

then there exists  $s_n = \frac{A \cdot K_Y + \sqrt{(A \cdot K_Y)^2 - A^2 K_Y^2 + A^2 r - A^2/n}}{A^2}$ , such that  $R(C) \subset \overline{\mathsf{Pos}}(X) + R(K - s_n L)$ .

Proof. As before, we want to find a positive solution of the equation

$$(tC - (K - sL))^2 = 0, (5.11)$$

where C is the (-n, p)-curve generating R. To ensure the existence of solutions of (5.11) we need  $\Delta \geqslant 0$  that is

$$(2p + n - 2 - sC \cdot L)^2 + n(K - sL)^2 \geqslant 0.$$

To have this, it is enough require the existence of a s such that

$$(K - sL)^2 = -\frac{1}{n}$$
 and  $(2p + n - 2 - sC \cdot L)^2 \geqslant 1.$  (5.12)

As in the former proposition, the first of (5.12) has solution if its discriminant

$$\frac{\Delta_n}{4} := (A \cdot K_Y)^2 - A^2 K_Y^2 + A^2 r - \frac{A^2}{n} \geqslant 0,$$

that is if

$$r \geqslant {K_Y}^2 + \frac{1}{n} - \frac{(A \cdot K_Y)^2}{A^2}.$$
 (5.13)

In this situation we can take

$$s_n = \frac{A \cdot K_Y + \sqrt{\Delta_n/4}}{A^2},\tag{5.14}$$

For the second inequality in (5.12), with  $s = s_n$ , it is enough to check that

$$2p + n - 2 - sC \cdot L \leqslant -1. \tag{5.15}$$

To this end, since  $C \cdot L \geqslant 1$ , it is enough to ask that  $s \geqslant 2p+n-1$ . Using the definition of  $s=s_n$ , we immediately get  $\sqrt{\Delta_n/4} \geqslant A^2(2p+n-1)-A \cdot K_Y$  Let us set q:=2p+n-1; if  $q<\frac{A \cdot K_Y}{A^2}$  we have no other condition to impose; otherwise we get

$$\Delta_n/4 \geqslant (A^2q - A \cdot K_Y)^2$$

which gives

$$r \geqslant K_Y^2 + \frac{1}{n} + A^2 q^2 - 2(A \cdot K_Y)q.$$
 (5.16)

In this situation one of the two solutions of  $(tC - (K - sL))^2 = 0$  is

$$t_0 = \frac{-(2p+n-2-sC\cdot L) + \sqrt{(2p+n-2-sC\cdot L)^2-1}}{n}.$$
 (5.17)

Thanks to the choice we did in (5.15) we have that  $t_0 \ge 1/n > 0$  and so we have a positive solution of (5.11). Now we have that  $\alpha = t_0 C - (K - sL)$  such that  $\alpha^2 = 0$ . Let us check that  $\alpha \cdot h \ge 0$  for some h ample. Mimicking (5.9), we get:

$$\alpha \cdot h = [t_0 C - (K - sL)] \cdot [L - \sum_{i \in I} \delta_i E_i] = \underbrace{t_0 C \cdot L}_{>0} - t_0 \sum_{i \in I} \delta_i E_i \cdot C + \underbrace{\sqrt{\Delta_n/4}}_{>0} - \sum_{i \in I} \delta_i.$$
 (5.18)

Now, since  $t_o C \cdot L + \sqrt{\Delta_n/4} > 0$ , we can fix some small  $\delta_i s$  (eventually depending on C) such that  $\alpha \cdot h$  is positive. Again we have that for positive  $t_0$ ,  $\alpha = t_0 C - (K - sL) \in \overline{Pos}(X)$ . Therefore  $t_0 C \in \overline{Pos}(X) + (K - sL)$  and hence  $R(C) \subset \overline{Pos}(X) + R(K - sL)$ .

Now, in view of what we pointed out at the beginning of this section, if we suppose the List Conjecture, we have  $-1\geqslant C^2\geqslant -\nu$  and  $0\leqslant p_a(C)\leqslant \pi$ , for every integral curve C with negative self-intersection and integers  $\nu$  and  $\pi$  depending only on Y.

In this situation we need to solve, for  $n=1,\ldots,\nu$  and  $p=0,\ldots,\pi$ , the inequalities (5.2) and (5.10); these are verified if  $r>K_Y{}^2+1-\frac{(A\cdot K_Y)^2}{A^2}$ , and, in the case  $2\pi+\nu-1>\frac{A\cdot K_Y}{A^2}$ , if  $r\geqslant K_Y{}^2+1+A^2(2\pi+\nu-1)^2-2(A\cdot K_Y)(2\pi+\nu-1)$ . It is easy to see that the second implies the first, hence, setting  $q=2\pi+\nu-1$ , our conditions can be summarized in

$$\begin{cases} r > K_Y^2 + 1 - \frac{(A \cdot K_Y)^2}{A^2} & \text{if } q \leq \frac{A \cdot K_Y}{A^2} \\ r \geqslant K_Y^2 + 1 + A^2 q^2 - 2(A \cdot K_Y) q & \text{if } q > \frac{A \cdot K_Y}{A^2}. \end{cases}$$
(5.19)

It is obvious to point out, looking at the definitions, that  $s_1$  is the smallest and  $s_{\nu}$  is the largest:  $s_1 < s_2 < \cdots < s_{\nu}$ . This seems interesting because of the following fact:

**Fact 5.5.** In our situation, if  $s \geqslant t$  we have that  $(K - sL)^{\perp} \cap L^{\geqslant 0} \subset (K - tL)^{\geqslant 0} \cap L^{\geqslant 0}$ . In particular, since L is nef, this is true intersecting with  $\overline{\mathsf{NE}}(X)$  instead of  $L^{\geqslant 0}$ .

*Proof.* Let 
$$\gamma \in (K - sL)^{\perp} \cap L^{\geqslant 0}$$
, we get  $\gamma \cdot K - s\gamma \cdot L = 0$  that gives  $\gamma \cdot K = s\gamma \cdot L$ . Hence:  $\gamma \cdot (K - tL) = \gamma \cdot K - t\gamma \cdot L = s\gamma \cdot L - t\gamma \cdot L = (s - t)\gamma \cdot L \geqslant 0$ .

**Fact 5.6.** If the conditions (5.19) are verified, there is an ample class  $h = L - \sum_i \delta_i E_i$ , with  $0 < \delta_i \ll 1$ , such that  $(K - sL) \cdot h < 0$  for all  $s = s_n = \frac{A \cdot K_Y + \sqrt{\Delta_n/4}}{A^2}$ .

Proof. Let us compute.

$$(K - sL) \cdot (L - \sum_{i} \delta_{i}E_{i}) = (K - sL) \cdot L - (K - sL) \cdot (\sum_{i} \delta_{i}E_{i}) =$$

$$K_{Y} \cdot A - sA^{2} - \sum_{i} \delta_{i}K \cdot E_{i} + s \sum_{i} \delta_{i}L \cdot E_{i} =$$

$$K_{Y} \cdot A - sA^{2} + \sum_{i} \delta_{i} = K_{Y} \cdot A - \frac{K_{Y} \cdot A + \sqrt{\Delta_{n}/4}}{A^{2}}A^{2} + \sum_{i} \delta_{i} = -\sqrt{\Delta_{n}/4} + \sum_{i} \delta_{i};$$

$$(5.20)$$

that is negative since  $\Delta_n/4 > 0$  and  $\sum_i \delta_i$  is small.

We are now getting closer to our main result; we need some other preliminary results.

**Fact 5.7.** For all 
$$t \neq s \in \mathbb{R}$$
 we have  $((K - sL)^{\perp} \cap Pos(X)) \cap ((K - tL)^{\perp} \cap Pos(X)) = \emptyset$ .

*Proof.* Consider  $\gamma$  in the intersection, then  $(K - sL) \cdot \gamma = 0 = (K - tL) \cdot \gamma$ , that is  $(t - s)L \cdot \gamma = 0$ , but since  $t \neq s$  this means  $L \cdot \gamma = 0$ , but this is impossible since L is nef and  $L^{\perp}$  lies outside Pos(X).

We are now able to give the following proposition

**Proposition 5.8.** If  $s \geqslant t$ , then  $\overline{Pos}(X) + R(K - tL) \subset \overline{Pos}(X) + R(K - sL)$ . In particular, if C is a (-n, p)-curve, for some  $0 < n \leqslant \nu$  and  $0 \leqslant p \leqslant \pi$ , then

$$R(C) \subset \overline{\mathsf{Pos}}(X) + R(K - s_{\nu}L).$$

*Proof.* Let us consider  $\gamma \in \overline{\mathsf{Pos}}(X) + R(K - tL)$ ; we can write  $\gamma = \alpha + a(K - tL)$  and  $\alpha \in \overline{\mathsf{Pos}}(X)$ ,  $a \geqslant 0$ . We have

$$\gamma = \alpha + a(K - sL + sL - tL) = \alpha + a(s - t)L + a(K - sL) \in \overline{Pos}(X) + R(K - sL),$$

since  $s \geqslant t$ , L is nef and hence it lies in  $\overline{\text{Pos}}(X)$ . Recalling the results of Proposition 5.3 and Proposition 5.4, since  $s_1 < s_2 < \cdots < s_{\nu}$ , we immediately get the second statement.

In the case of  $\rho(X)=3$ , the situation in Proposition 5.8, can be pictured as in Figure 2. In particular we can see that as  $s=s_n$  grows, the ray R(-(K-sL)) get closer to the boundary of  $\overline{Pos}(X)$ .

We are now ready to state our main result. We prove that Problem 5.1 has a positive answer if the List Conjecture is true with bounds depending only on Y and the number of points r is sufficiently large. In particular this is a consequence of Segre Conjecture.

**Theorem 5.9.** Let  $\varphi: X \to Y$  the blow up at a set of r general points of a smooth projective surface Y. Let A be an ample divisor on Y and  $L = \varphi^*A$ . Let us suppose that:

- 1. there exist two integer numbers  $\nu=\nu_X$  and  $\pi=\pi_X$  such that the List Conjecture holds on X with bounds for (-n,p)-curves given by  $1\leqslant n\leqslant \nu$  and  $0\leqslant p\leqslant \pi$ ; this is verified, for example, if Segre Problem holds true on X (see Proposition 3.16) or if  $-K_X$  is pseudoeffective (see Proposition 3.13).
- 2. the following inequalities, with  $q = 2\pi + \nu 1$ , hold:

$$\begin{cases} r > K_Y^2 + 1 - \frac{(A \cdot K_Y)^2}{A^2} & \text{if } q \leqslant \frac{A \cdot K_Y}{A^2} \\ r \geqslant K_Y^2 + 1 + A^2 q^2 - 2(A \cdot K_Y) q & \text{if } q > \frac{A \cdot K_Y}{A^2}. \end{cases}$$
(5.21)

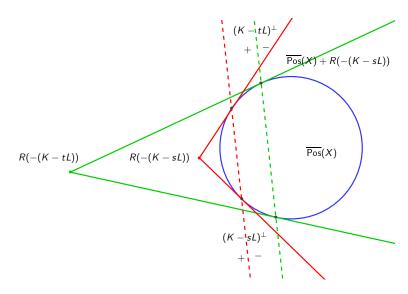


Figure 2: The positive cone  $\overline{Pos}(X)$  and the behaviour of R(-(K-sL))

Then there exists  $s = s_{\nu} \in \mathbb{R}$ ,

$$s = \frac{(A \cdot K_Y) + \sqrt{(A \cdot K_Y)^2 - A^2 K_Y^2 + A^2 r - A^2 / \nu}}{A^2},$$
(5.22)

such that

$$\overline{\mathsf{NE}}(X)_{(K-sL)^{\geqslant 0}} = \overline{\mathsf{Pos}}(X)_{(K-sL)^{\geqslant 0}}. \tag{5.23}$$

That is, Problem 5.1 is true with D = K - sL.

In particular, conditions 1. and 2. are verified, for  $r \gg 0$ , if the bounds  $\nu$ ,  $\pi$  depend only on Y.

*Proof.* Since the  $\rho(X) \leqslant 2$  case is trivial, we focus on  $\rho(X) \geqslant 3$ . Let R = R(C) be an extremal ray of  $\overline{\text{NE}}(X)$  spanned by the class of the irreducible curve C with  $C^2 < 0$ . Since we are assuming the List conjecture, we have that  $C^2 \geqslant -\nu$ , for some integer  $\nu$ . Then by Proposition 5.8, we have that  $R = R(C) \subset \overline{\text{Pos}}(X) + R(K - sL)$ , where  $s = s_{\nu}$  is the real number constructed in Proposition 5.3 or in Proposition 5.4.

**Claim 5.10.** Let  $G = R^{\perp} \cap \overline{\mathsf{Pos}}(X)$ , then  $G \subseteq (K - sL)^{\leq 0}$ .

Proof of the Claim. Let us take  $\gamma \in G$  and  $0 \neq \delta \in R$ ; in particular  $\gamma \cdot R = 0$  and since  $R \subset \overline{Pos}(X) + R(K - sL)$ , we can write  $\delta = \alpha + a(K - sL)$ , with  $\alpha \in \overline{Pos}(X)$  and a > 0.

We can compute:  $0 = \gamma \cdot \delta = \gamma \cdot \alpha + a\gamma \cdot (K - sL)$ , which gives  $a\gamma \cdot (K - sL) = -\gamma \cdot \alpha$ , that is non positive, since  $\gamma, \alpha \in \overline{\mathsf{Pos}}(X)$  and, by Fact 1.3,  $\gamma \cdot \alpha \geqslant 0$ .

Now a well-known theorem by Campana and Peternell gives a description of the shape of  $\partial \operatorname{Nef}(X)$ , see, for example [Laz04, Theorem 1.5.28]:

$$\partial \operatorname{Nef}(X) \subseteq \partial \overline{\operatorname{Pos}}(X) \cup \left(\bigcup_{i} H_{i}\right),$$
 (5.24)

with  $H_i = C_i^{\perp}$  for some integral  $C_i$  with  $C_i^2 < 0$ .

Claim 5.11.  $\partial \operatorname{Nef}(X)_{(K-sL)^{>0}} = \partial \overline{\operatorname{Pos}}(X)_{(K-sL)^{>0}}$ .

*Proof of the Claim.* To prove the first inclusion we see that by Claim 5.10, we have that if  $\beta \in \partial \operatorname{Nef}(X)$  is supported on an hyperplane, then  $\beta \in (K - sL)^{\leq 0}$  and hence, by (5.24),  $\partial \operatorname{Nef}(X) \cap (K - sL)^{>0} \subseteq \partial \overline{\operatorname{Pos}}(X) \cap (K - sL)^{>0}$ .

Let us now focus on the reverse inclusion and let us consider  $0 \neq \alpha \in \partial \overline{\mathsf{Pos}}(X) \cap (K - sL)^{>0}$ ; it is enough to show that  $\alpha \in \mathsf{Nef}(X)$ .

Suppose by contradiction that  $\alpha$  is not nef; then there exists a class of a curve C such that  $\alpha \cdot C < 0$  and it must be  $C^2 < 0$  (else we would have  $\alpha \cdot C \ge 0$  by Fact 1.3).

Setting  $G = C^{\perp} \cap \overline{\text{Pos}}(X)$ , as in Claim 5.10, we get  $G \subseteq (K - sL)^{\leq 0}$ ; since  $(K - sL) \cdot C < 0$  (see equation (5.15)), we immediately get

$$G + R(C) \subset (K - sL)^{\leqslant 0}; \tag{5.25}$$

We now claim the following:

$$C^{\leqslant 0} \cap \overline{\mathsf{Pos}}(X) \subseteq G + R(C). \tag{5.26}$$

To prove it, let us take  $0 \neq \beta \in C^{\leq 0} \cap \overline{\mathsf{Pos}}(X)$  we can suppose that  $\beta \cdot h = C \cdot h$ . Now if  $\beta \cdot \dot{C} = 0$  we are done. If  $\beta \cdot \dot{C} < 0$ , we claim that  $\beta \cdot \dot{C} - C^2 > 0$ .

Indeed, since  $\beta \in \overline{\text{Pos}}(X)$ , we have  $0 \leqslant \beta^2 = (\beta - C)^2 + (\beta - C) \cdot C + \beta \cdot C$ , which gives  $\beta \cdot C - C^2 = C$  $(\beta - C) \cdot C \geqslant -\beta \cdot C - (\beta - C)^2$ . We claim that this is positive since  $\beta \cdot C < 0$  and  $(\beta - C)^2 < 0$  (it easy to see that if it were  $(\beta - C)^2 \ge 0$ , then  $\beta = C$ ).

Now, the line  $L = \{t\beta + (1-t)C \mid t \in \mathbb{R}\}$  joining C and  $\beta$  does intersect  $C^{\perp}$  in the point  $\gamma$  corresponding

$$t = \frac{-C^2}{\beta \cdot C - C^2} > 1. \tag{5.27}$$

It is an immediate computation to see that  $\gamma \in \overline{\mathsf{Pos}}(X)$  .

Hence we have  $\gamma = t\beta + (1-t)C \in G = \overline{\operatorname{Pos}}(X) \cap C^{\perp}$  and thus,  $\beta = \frac{1}{t}\gamma + \frac{t-1}{t}C \in G + R(C)$ . Now, using (5.26) and (5.25), we get  $\alpha \in C^{\leqslant 0} \cap \overline{\operatorname{Pos}}(X) \subseteq G + R(C) \subseteq (K - sL)^{\leqslant 0}$ , a contradiction since  $\alpha \in (K - sL)^{>0}$ .

Claim 5.12. Nef $(X)_{(K-sL)\geq 0} = \overline{\mathsf{Pos}}(X)_{(K-sL)\geq 0}$ .

Proof of the Claim. At first, extending Claim 5.11, we prove the following

$$\partial \operatorname{Nef}(X) \cap (K - sL)^{\geqslant 0} = \partial \overline{\operatorname{Pos}}(X) \cap (K - sL)^{\geqslant 0}. \tag{5.28}$$

Indeed, by Claim 5.11, taking the closure, we get

$$\operatorname{cl}(\partial \operatorname{Nef}(X) \cap (K - sL)^{>0}) = \operatorname{cl}(\partial \overline{\operatorname{Pos}}(X) \cap (K - sL)^{>0}).$$

Let us recall that the boundary satisfies a sort of Leibniz formula for two closed subsets C, D of a topological space:  $\partial(C \cap D) = (\partial C \cap D) \cup (C \cap \partial D)$ . Hence, since  $\operatorname{int}(\partial \overline{\mathsf{Pos}}(X)) = \emptyset$ , we see at once that  $\operatorname{cl}(\partial \overline{\mathsf{Pos}}(X) \cap D)$  $(K - sL)^{>0} = \partial \overline{\mathsf{Pos}}(X) \cap (K - sL)^{\geqslant 0}$ . Thus we get

$$\partial \overline{\mathsf{Pos}}(X) \cap (K - sL)^{\geqslant 0} = \mathsf{cl}(\partial \mathsf{Nef}(X) \cap (K - sL)^{\geqslant 0}) \subseteq \mathsf{cl}(\partial \mathsf{Nef}(X)) \cap \mathsf{cl}((K - sL)^{\geqslant 0}) = \partial \mathsf{Nef}(X) \cap (K - sL)^{\geqslant 0}.$$

To prove the other inclusion in (5.28), let us take  $x \in \partial \operatorname{Nef}(X) \cap (K - sL)^{\geqslant 0}$ . If it is in  $(K - sL)^{\geqslant 0}$ , then it is in  $\partial \overline{\mathsf{Pos}}(X)$  by Claim 5.11. Hence we can suppose  $x \in (K - sL)^{\perp}$  and, by contradiction,  $x \in \mathsf{Pos}(X)$ ; by the result of Campana and Peternell (see (5.24)), we have therefore that  $x \in C^{\perp}$  for some C with  $C^2 < 0$  and thus  $x \in C^{\perp} \cap (K - sL)^{\perp} \cap Pos(X)$ .

We can have two different cases. If  $C^{\perp}=(K-sL)^{\perp}$  then C and (K-sL) have to be parallel, but since  $C \cdot h > 0$  and  $(K - sL) \cdot h < 0$ , there must be an a > 0 such that aC = -(K - sL), which gives  $0 < -(K - sL) \cdot C = aC^2 < 0$ , a contradiction.

If  $C^{\perp} \neq (K - sL)^{\perp}$ , since the origin and x lie in both of them, they are not parallel and thus they intersect in a linear subspace of dimension  $\rho(X) - 2$ . Now, since  $x \in C^{\perp} \cap (K - sL)^{\perp} \cap Pos(X)$ , by dimension reasons, there will be an  $y' \in C^{\perp} \cap Pos(X) \cap (K - sL)^{>0}$ , that is a contradiction with Claim 5.10.

Thus we have the (5.28) and, by subtracting the equation in Claim 5.11, we immediately see that

$$\partial \operatorname{Nef}(X) \cap (K - sL)^{\perp} = \partial \overline{\operatorname{Pos}}(X) \cap (K - sL)^{\perp}. \tag{5.29}$$

Now we claim that

$$Nef(X) \cap (K - sL)^{\perp} = \overline{Pos}(X) \cap (K - sL)^{\perp}. \tag{5.30}$$

One of the two inclusion is obvious. To prove the other, let us take  $x \in \overline{Pos}(X) \cap (K - sL)^{\perp}$ ; if  $x \in \partial \overline{Pos}(X)$ , then by equation (5.29), we are done; if otherwise  $x \in Pos(X) \cap (K - sL)^{\perp} \subset (K - sL)^{\perp}$ , it is in the convex hull of its boundary as a closed cone in  $(K - sL)^{\perp}$  and we can write

$$x = \sum \gamma_i, \quad \gamma_i \in \partial_{(K-sL)^{\perp}}(\overline{\mathsf{Pos}}(X) \cap (K-sL)^{\perp}) \subseteq \partial \overline{\mathsf{Pos}}(X) \cap (K-sL)^{\perp},$$

where the last inclusion comes from the fact that if  $C \subset W$  is a closed subset and  $T \subset W$  is a topological subspace, then  $\partial_H(C \cap H) \subseteq \partial C \cap H$ .

Now equation (5.29) allows us to write  $x = \sum \gamma_i$ , with  $\gamma_i \in \partial \operatorname{Nef}(X) \cap (K - sL)^{\perp}$ ; then  $x \in \operatorname{Nef}(X) \cap (K - sL)^{\perp}$  and equation (5.30) is proved. Hence by (5.28) and (5.30), we get:

$$\partial (\operatorname{Nef}(X) \cap (K - sL)^{\geqslant 0}) = (\partial \operatorname{Nef}(X) \cap (K - sL)^{\geqslant 0}) \cup (\operatorname{Nef}(X) \cap (K - sL)^{\perp})$$

$$= (\partial \overline{\operatorname{Pos}}(X) \cap (K - sL)^{\geqslant 0}) \cup (\overline{\operatorname{Pos}}(X) \cap (K - sL)^{\perp}) = \partial (\overline{\operatorname{Pos}}(X) \cap (K - sL)^{\geqslant 0}).$$

Since we have two closed and convex cones not containing lines with the same boundary, their convex hull is the same and the claim is proved.  $\Box$ 

We are now getting closer to the conclusion: our goal is a sort of *dual statement* of Claim 5.12. At first let us prove that

$$\overline{\mathsf{NE}}(X) \cap (K - \mathsf{sL})^{\perp} = \overline{\mathsf{Pos}}(X) \cap (K - \mathsf{sL})^{\perp}. \tag{5.31}$$

Since  $\overline{\operatorname{Pos}}(X)\subseteq \overline{\operatorname{NE}}(X)$ , one of the two inclusion is obvious. For the other inclusion, let us suppose, by contradiction that there exists  $\gamma\in \overline{\operatorname{NE}}(X)\cap (K-sL)^\perp$  with  $\gamma^2<0$ .

If we consider the rays outgoing from  $\gamma$  and tangent to  $\overline{Pos}(X)$ , we see that, since  $(K-sL)^2<0$  (see the proof of Proposition 5.4), by Lemma 1.4, there are rays in both  $(K-sL)^{<0}$  and  $(K-sL)^{>0}$  side. Thus we can fix two tangent rays intersecting  $\partial \overline{Pos}(X)$  in  $\alpha$  and  $\beta$  such that:

$$\alpha, \beta \in \gamma^{\perp}; \quad \alpha^2 = \beta^2 = 0; \quad \alpha \in (K - sL)^{>0}; \quad \beta \in (K - sL)^{<0}.$$
 (5.32)

We point out that since  $\alpha \in (K - sL)^{>0}$  and  $\beta \in (K - sL)^{<0}$ , then  $\alpha$  and  $\beta$  are not proportional and thus the segment  $[\alpha, \beta]$  can't be contained in  $\partial \overline{\mathsf{Pos}}(X)$  and therefore the open segment  $(\alpha, \beta)$  does lie in  $\mathsf{Pos}(X)$  (see the proof of Fact 1.5).

Intersecting the segment  $(\alpha, \beta)$  with  $(K - sL)^{\perp}$ , we found  $y \in (\alpha, \beta) \cap Pos(X)$  corresponding to a certain  $\bar{t} \in (0, 1)$ . Since  $\alpha, \beta \in \gamma^{\perp}$ , we get at once:  $y \in \gamma^{\perp} \cap (K - sL)^{\perp} \cap Pos(X)$ .

Now y is in the interior of  $\overline{Pos}(X)$  and  $\gamma$  in the exterior, hence there is an  $x \in (y, \gamma)$  such that  $x \in \partial \overline{Pos}(X)$ , that is  $x^2 = 0$ .

We immediately see that  $x \cdot (K - sL) = ty \cdot (K - sL) + (1 - t)\gamma \cdot (K - sL) = 0$ , and hence  $x \in \overline{\mathsf{Pos}}(X)_{(K - sL) \ge 0}$ . On the other side, if we compute  $x \cdot \gamma = ty \cdot \gamma + (1 - t)\gamma^2 < 0$ , we see that, since  $\gamma \in \overline{\mathsf{NE}}(X)$ , then x can't be a nef class and this is a contradiction with Claim 5.12.

We want now finally prove that

$$\overline{\mathsf{NE}}(X)_{(K-sL)^{\geqslant 0}} = \overline{\mathsf{Pos}}(X)_{(K-sL)^{\geqslant 0}}.$$

Since  $\overline{\operatorname{Pos}}(X) \subseteq \overline{\operatorname{NE}}(X)$  we have that one of the two inclusions is obvious. In order to prove the other, suppose, by contradiction, that there exists  $x \in \overline{\operatorname{NE}}(X) \cap (K - sL)^{\geqslant 0}$  such that  $x \notin \overline{\operatorname{Pos}}(X)$ .

By an argument of extremal rays, we can suppose that x = [C] for some integral curve with  $C^2 < 0$ .

Now, as in Claim 5.10, setting  $G = C^{\perp} \cap Pos(X)$ , we get  $G \subset (K - sL)^{\leq 0}$ .

Let us fix a  $\gamma \in G \neq \emptyset$ ; since  $\gamma \cdot (K - sL) \leqslant 0$  and  $C \cdot (K - sL) \geqslant 0$ , the segment joining C to  $\gamma$  does intersect  $(K - sL)^{\perp}$ : the line  $L(C, \gamma) = \{\lambda(t) = t\gamma + (1 - t)C \mid t \in \mathbb{R}\}$ , intersects  $(K - sL)^{\perp}$  in  $\bar{\lambda} = \lambda(\bar{t})$  for some  $0 < \bar{t} \leqslant 1$ . It is easy to see that  $\bar{\lambda} \cdot C \leqslant 0$  and that  $\bar{\lambda} \in \mathsf{Pos}(X)$ .

Let us set  $\lambda_{\varepsilon} = \lambda(\bar{t} - \varepsilon)$ , for some  $0 < \varepsilon \ll 1$ ; an immediate computation shows that  $\lambda_{\varepsilon} \in (K - sL)^{\geqslant 0}$ .

Now, since  $\varepsilon$  is small, we have that  $\lambda_{\varepsilon} \in \overline{\mathsf{Pos}}(X) \cap (K - sL)^{\geqslant 0} = \mathsf{Nef}(X) \cap (K - sL)^{\geqslant 0}$ ; in particular  $\lambda_{\varepsilon}$  is nef; on the other side, we immediately get

$$C \cdot \lambda_{\varepsilon} = [(\bar{t} - \varepsilon)\gamma + (1 - \bar{t} + \varepsilon)C] \cdot C = (\bar{t} - \varepsilon)\underbrace{\gamma \cdot C}_{=0} + \underbrace{(1 - \bar{t} + \varepsilon)}_{>0}\underbrace{C^2}_{<0} < 0,$$

that is a contradiction.

# 6 Strict inclusion conditions

We have now seen that, assuming some conjecture, if we blow up a sufficiently large number of points, then the Mori cone  $\overline{\rm NE}(X)$  does coincide with the positive cone in the  $(K-sL)^{\geqslant 0}$  part. Our goal is now to show that, independently of any conjecture, the restriction of the positive cone to  $K^{\geqslant 0}$  can't coincide with the restriction of  $\overline{\rm NE}(X)$ .

**Proposition 6.1.** Let  $X = BI_r Y$  be the blow up at r general points of a smooth projective surface Y and A be an ample divisor. Let us suppose one of the following holds true.

(A) 
$$\begin{cases} r \leqslant K_Y^2 + 1 - \frac{(A \cdot K_Y)^2}{A^2} \\ A \cdot K_Y > 0 \\ A^2 < (A \cdot K_Y)^2; \end{cases}$$
 (B) 
$$\begin{cases} r > K_Y^2 + 1 - \frac{(A \cdot K_Y)^2}{A^2} \\ r \leqslant K_Y^2 + 1 \\ A \cdot K_Y > 0 \end{cases}$$

(C) 
$$\begin{cases} r > 0 \\ K_Y^2 < 0; \end{cases}$$
 (D) 
$$\begin{cases} r > K_Y^2 + 1 \\ K_Y^2 \geqslant 0; \end{cases}$$

Then, for a fixed (-1)-curve C, there exists  $\alpha \in \overline{\mathsf{Pos}}(X)$  such that

$$\begin{cases} \alpha^2 = 0, & \alpha \cdot h \geqslant 0 \\ \alpha \cdot C \leqslant 0, & \alpha \cdot K > 0. \end{cases}$$
(6.1)

Moreover, we get:  $\overline{\mathsf{Pos}}(X)_{K^{\geqslant 0}} \subsetneq \overline{\mathsf{NE}}(X)_{K^{\geqslant 0}}$ .

*Proof.* Let us fix  $C = E_i$  for some i, one of the exceptional curves. At first we prove that conditions (6.1) give the strict inclusion. Let us set  $\gamma = C + \lambda \alpha$ , with  $\lambda \gg 1$ . Since  $\alpha \in \overline{\operatorname{Pos}}(X) \subseteq \overline{\operatorname{NE}}(X)$ , then  $\gamma \in \overline{\operatorname{NE}}(X)$ ; on the other side  $\gamma^2 = (C + \lambda \alpha)^2 = C^2 + 2\lambda C \cdot \alpha < 0$ , which gives  $\gamma \notin \overline{\operatorname{Pos}}(X)$ . Now, since  $\lambda \gg 1$  and  $\alpha \cdot K > 0$ , we get  $(C + \lambda \alpha) \cdot K = -1 + \lambda \alpha \cdot K > 0$ ; hence  $\gamma \in \overline{\operatorname{NE}}(X)_{K > 0}$  and  $\gamma \notin \overline{\operatorname{Pos}}(X)_{K > 0}$ . We now look for an  $\alpha$  in the form  $\alpha = tC - (K - sL)$ , with  $t, s \in \mathbb{R}$ ; we want to show the existence of t, s in order to fulfil conditions (6.1). First of all, we need

$$\alpha^2 = (tC - (K - sL))^2 = 0. \tag{6.2}$$

To ensure the existence of solutions for t of (6.2), we require  $\Delta_t := (C \cdot (K - sL))^2 + (K - sL)^2 \ge 0$ , that, by adjunction and by Fact 5.2, becomes

$$s^2 A^2 - 2sA \cdot K_Y + K_Y^2 + 1 - r \geqslant 0. \tag{6.3}$$

Thus, according to the sign of the discriminant  $\Delta_s$  of equation (6.3), we have two different cases:

Case 
$$\Delta_s \geqslant 0$$
 
$$\begin{cases} r \geqslant K_Y^2 + 1 - \frac{(A \cdot K_Y)^2}{A^2} \\ s \leqslant \frac{A \cdot K_Y - \sqrt{\Delta_s}}{A^2} & \forall \quad s \geqslant \frac{A \cdot K_Y + \sqrt{\Delta_s}}{A^2}. \end{cases}$$
 (6.4)

Case 
$$\Delta_s < 0$$
 
$$\begin{cases} r < K_Y^2 + 1 - \frac{(A \cdot K_Y)^2}{A^2} \\ \forall s \in \mathbb{R}. \end{cases}$$
 (6.5)

With this conditions on s,  $\Delta_t \geqslant 0$  and, among the solutions of (6.2), we pick  $t = 1 + \sqrt{\Delta_t}$ . We now impose  $\alpha \cdot h \geqslant 0$ , for an ample class  $h = L - \sum \delta_j E_j$ . An easy computation, since  $0 < \delta_j \ll 1$ , shows that  $\alpha \cdot h \geqslant 0$  if and only if  $(sA^2 - A \cdot K_Y) > 0$ , that is

$$s > \frac{A \cdot K_Y}{A^2}. \tag{6.6}$$

Now, the case  $\Delta_s = 0$  in (6.4) can be associated to equation (6.5) and these two conditions, together with (6.6), become

$$\begin{cases}
r \leqslant K_{Y}^{2} + 1 - \frac{(A \cdot K_{Y})^{2}}{A^{2}} \\
s > \frac{A \cdot K_{Y}}{A^{2}},
\end{cases}$$
 and 
$$\begin{cases}
r > K_{Y}^{2} + 1 - \frac{(A \cdot K_{Y})^{2}}{A^{2}} \\
s \geqslant \frac{A \cdot K_{Y} + \sqrt{\Delta_{s}}}{A^{2}}.
\end{cases}$$
(6.7)

We see at once that, since  $t \ge 1$ , then  $\alpha \cdot C = 1 - t \le 0$ . To prove (6.1) it is left to deal with  $\alpha \cdot K$ ; since  $\alpha \cdot K = -t - K_Y^2 + r + sA \cdot K_Y$ , the condition to impose is

$$r - K_Y^2 - 1 + sA \cdot K_Y > \sqrt{\Delta_t}. \tag{6.8}$$

At the end, we get two different systems of inequalities for s:

$$\begin{cases} r \leqslant K_{Y}^{2} + 1 - \frac{(A \cdot K_{Y})^{2}}{A^{2}} \\ s > \frac{A \cdot K_{Y}}{A^{2}} \\ r - K_{Y}^{2} - 1 + sA \cdot K_{Y} > \sqrt{\Delta_{t}}, \end{cases} \quad \text{and} \quad \begin{cases} r > K_{Y}^{2} + 1 - \frac{(A \cdot K_{Y})^{2}}{A^{2}} \\ s \geqslant \frac{A \cdot K_{Y} + \sqrt{\Delta_{s}}}{A^{2}} \\ r - K_{Y}^{2} - 1 + sA \cdot K_{Y} > \sqrt{\Delta_{t}}. \end{cases}$$
(6.9)

The hypothesis in the statement of the proposition are exactly the conditions ensuring the existence of solutions for s in (6.9). To solve (6.9), we used the computational system Wolfram Alpha (http://www.wolframalpha.com/). Setting  $x = A \cdot K_Y$ ,  $y = A^2$ ,  $z = K_Y^2 + 1$ , the solutions of (6.9) are given by the strings:

Reduce[
$$\{r \le -(x^2/y) + z, r > 0, y > 0, s > x/y, r + s x - z > Sqrt[-r - 2 s x + s^2 y + z]\}, s$$
]

Reduce[
$$\{r > -(x^2/y) + z, r > 0, y > 0,s >= (x + Sqrt[x^2 - y (-r + z)])/y, r + s x - z > Sqrt[-r - 2 s x + s^2 y + z]\}, s$$

An easy refinement of the computed solution gives the result.

We can now give a similar statement in the case of an interesting geometrical hypothesis.

**Proposition 6.2.** Let  $X = Bl_r Y$  the blow up at  $r \ge 2$  general points of a projective surface Y; let us suppose that for an ample divisor A on Y the inequality

$$A \cdot K_Y + \sqrt{A^2(r-1)} > 0 \tag{6.10}$$

holds true, then  $\overline{\operatorname{Pos}}(X)_{K\geqslant 0}\subsetneq \overline{\operatorname{NE}}(X)_{K\geqslant 0}$ . In particular this is true if Y is a non uniruled surface.

*Proof.* In light of Proposition 6.1, we just have to show, for a fixed (-1)-curve, the existence of an  $\alpha$  satisfying (6.1).

Let us fix  $C = E_r$ , the last exceptional curve on X, and let A be an ample divisor A on Y. We look for an  $\alpha$  in the form

$$\alpha = \varphi^* A + \sum_{i=1}^r a_i E_i$$
 with  $a_i \in \mathbb{R}$ .

Imposing  $\alpha \cdot C = 0$  gives  $a_r = 0$  and hence we can write  $\alpha = \varphi^* A + \sum_{i=1}^{r-1} a_i E_i$ . The  $\alpha^2 = 0$  condition gives

$$\alpha^2 = A^2 - \sum_{i=1}^{r-1} a_i^2 = 0 \quad \Rightarrow \quad A^2 = \sum_{i=1}^{r-1} a_i^2.$$
 (6.11)

This condition is satisfied, for example, setting

$$a_i = -\sqrt{\frac{A^2}{r-1}}, \quad \text{ for } i = 1, \dots, r-1; \quad a_r = 0.$$

We can now compute  $\alpha \cdot h$  for an appropriate ample class  $h = L - \sum \delta_i$ , with  $0 < \delta_i \ll 1$ ; we have

$$\alpha \cdot h = \left(\varphi^* A - \sum_{i=1}^{r-1} \sqrt{\frac{A^2}{r-1}} E_i\right) \cdot \left(\varphi^* A - \sum_{i=1}^r \delta_i E_i\right) = A^2 - \sum_{i=1}^{r-1} \sqrt{\frac{A^2}{r-1}} \delta_i,$$

that is positive since  $\delta_i \ll 1$  and  $A^2 > 0$ . At the end we have:

$$\alpha \cdot K = \varphi^* A \cdot \varphi^* K_Y - \sum_{i=1}^{r-1} a_i = A \cdot K_Y - \sum_{i=1}^{r-1} \left( -\sqrt{\frac{A^2}{r-1}} \right),$$
 (6.12)

that is positive by (6.10). In the non uniruled case, we have in particular that  $K_Y$  is a pseudoeffective divisor, hence  $A \cdot K_Y \geqslant 0$  and condition (6.10) is immediately satisfied.

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**Remark 6.3.** We have that in the case  $Y = \mathbb{P}^2$ , Proposition 6.1 and Proposition 6.2 give the same bound r > 10. Thus if we blow up r > 10 points, then  $\overline{\mathsf{Pos}}(X)_{K \geqslant 0} \subsetneq \overline{\mathsf{NE}}(X)_{K \geqslant 0}$  and we have recovered the same results of [dF10].

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